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PTO/SB/21 (02-04)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/719,692	
Filing Date	November 21, 2003	
First Named Inventor	An, Songzhu	
Art Unit	1645	
Examiner Name	Not yet assigned	
Attorney Docket Number	018781-009530US	- · · · · · · · · · · · · · · · · · · ·

	ENCLOSURES (Check all that apply)					
	Fee Transmittal Form	Drawing(s)	After Allowa	nce Communication		
	Fee Attached  Amendment/Reply	Licensing-related Papers  Petition Petition to Convert to a	Appeal Com of Appeals a Appeal Com	nmunication to Board and Interferences nmunication to Group ce, Brief, Reply Brief)		
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	Response to Missing Parts/ Incomplete Application					
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Date: June 24, 2004

TOWNSEND and TOWNSEND and CREW LLP

Marina A. Lewis

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

AN et al.

Application No.: 10/719,692

Filed: November 21, 2003

For: RECEPTOR LIGANDS AND

METHODS OF MODULATING

**RECEPTORS** 

Examiner: Not yet assigned

Art Unit: 1645

INFORMATION DISCLOSURE STATEMENT

Attorney Docket No.: 018781-009530US Client Reference No.: T02-011-2/US

PATENT

UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, applicant has not submitted copies of references A1-A3. Copies of all non-U.S. patent and literary references are enclosed. It is respectfully requested that the cited references be expressly

**PATENT** 

Application No.: 10/719,692

Page 2

considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Date: June 24, 2004

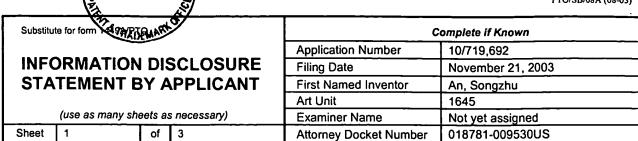
Respectfully submitted,

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, Eighth Floor San Francisco, California 94111-3834

Tel: 415-576-0200 Fax: 415-576-0300

JML:mal

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	U.S. PATENT DOCUMENTS+						
		Document Number					
Examiner Initials*	Cite No.1			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A1	US-6,500,938	B1	12-31-2002	Au-Young, et al.		
	A2	US-6,555,339	B1	04-29-2003	Liaw, et al.		
	А3	US-2002-0052022	A1	05-02-2002	Elshourbagy, et al.	<u> </u>	

			-	FC	REIGN PATEN	T DOCUMENTS		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup>	eign Patent Do	Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	r⁰
	A4	EP	1270724	A2	01-02-2003	National Institute of Advanced Industrial Science and Technology; and 2) Center for Advanced Science and Technology Incubation, Ltd.		N/A
	A5	wo	98/56820	A1	12-17-1998	Smithkline Beecham Corporation		N/A
	A6	wo	00/22129	A1	04-20-2000	Arena Pharmaceuticals, Inc.		N/A
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•	A8	wo	01/36473	A2	05-25-2001	Pharmacia & Upjohn Company		N/A
	A9	wo	01/73029	A2	10-04-2001	PE Corporation		N/A
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	A24	wo	02/072755	A2	09-19-2002	Bristol-Myers Squibb Company		N/A
	A25	wo	02/084298	A2	10-24-2002	Glaxo Group Limited		N/A
	A26	wo	03/021262	A1	03-13-2003	Takeda Chemical Industries, Ltd.		

Examiner Signature	Date Considered	

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JUN 2 8 2004

## Substitute for form 1449B/PTO INFORMATION DISCEOSURE **STATEMENT BY APPLICANT**

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Sheet	2	of	3

Complete if Known						
Application Number	Application Number 10/719,692					
Filing Date November 21, 2003						
First Named Inventor	An, Songzhu					
Art Unit 1645						
Examiner Name Not yet assigned						
Attorney Docket Number	018781-009530US					

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2					
	A27	AKTORIES, K., et al., "Nicotinic Acid Inhibits Adipocyte Adenylate Cyclase in a Hormone-Like Manner", Elsevier/North-Holland Biomedical Press (June 1980), Vol. 115, No. 1, pp. 11-14.						
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449B/PTO Complete if Known Application Number 10/719,692 INFORMATION DISCLOSURE Filing Date November 21, 2003 STATEMENT BY APPLICANT First Named Inventor An, Songzhu Art Unit 1645 (use as many sheets as necessary) Examiner Name Not yet assigned Sheet of 3 Attorney Docket Number 018781-009530US

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	A43	MIYAI, K., et al., "Synthesis and Antiinflammatory Activity of 6-Oxo-1-(ß-D-ribofuranosyl)nicotinic Acid and Related Derivatives", <i>Journal of Medicinal Chemistry</i> (1978), Vol. 21, No. 5, pp. 427-430.	
•	A44	MONZON, J, "Lipolysis in Adipocytes Isolated from Deep and Superficial Subcutaneous Adipose Tissue", Obesity Research (April 2002), Vol. 10, No. 4, pp. 266-269.	
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